

**URBAN SYSTEMS
ENGINEERING AND RELATED SERVICES
APRIL 24, 2014**

**CONTRACT NO. 4400004923
STATE PROJECT NO. H.007265.6 (CE&I)
ST. CHARLES AVE. (LA AVE. – CALLIOPE ST.)
ORLEANS PARISH**

DBE/WBE GOAL = 4%

Under Authority granted by Title 48 of Louisiana Revised Statutes, the Louisiana Department of Transportation and Development (DOTD) hereby issues a Request for Qualification Statements (RFQ) on DOTD Form 24-102 (24-102), “Professional Engineering and Related Services”, revised November 2011, from Consulting Firms (Consultant) to provide engineering and related services. **All requirements of Louisiana Professional Engineering and Land Surveying (LAPELS) Board must be met at the time of submittal.** One Prime-Consultant/Sub-Consultant(s) (Consultant/Team) will be selected for this Contract.

Municipality Project Manager: Tacie Rabalais

DOTD Coordinator: Mr. Fred Wetekamm

All inquiries concerning this advertisement should be sent in writing to Alan.Dale@LA.gov.

PROJECT DESCRIPTION

This is an Urban System project. The Consultant/Team will be required to provide construction contract administration and construction engineering inspection services. The Contract will be between the Consultant and the City of New Orleans, hereinafter referred to as the “**Entity**”. It will be monitored by the **Entity**, DOTD and the Federal Highway Administration (FHWA). The current estimate for construction is \$4,145,234.

SCOPE OF SERVICES

STAGE 5: CONSTRUCTION

Part III: Construction Engineering and Inspection (CE&I)

The selected Consultant/Team will be required to provide construction contract administration and construction engineering inspection services typically performed by the DOTD Project Engineer and his staff, including but not limited to, that required for cold planing the existing roadway, removal and replacement of portions of concrete

walks and drives, removal and replacement of existing concrete curb and gutters, cleaning and resealing longitudinal and transverse joints, excavation and embankment, adjusting manholes, superpave asphaltic concrete, and related items. Construction plans will provide the exact construction scope and limits.

These services will be performed in accordance with DOTD's Standards and Procedures (see References). Copies of these documents will be made available through DOTD upon request. The DOTD will assign a project engineer from its District (02) Office to serve as a construction coordinator for the DOTD during project construction. The following services to be performed will be under the direct supervision of the **Entity**:

1. Coordinate with the **Entity** and DOTD District personnel to schedule and attend the Pre-construction Meeting. The Consultant will be required to conduct the meeting.
2. Maintain all construction field records; make daily entries in the project diary (DWR) to indicate the Consultant's personnel and Contractor's personnel present on the job site, the Contractor's personnel and equipment being utilized on the project, the work being accepted, the acceptability of traffic control, and the charging of contract time.
3. Coordinate with the Entity's Engineer/Representative for all relocations/adjustments of utility facilities for the construction of work site.
4. Provide all necessary personnel and equipment (such as cylinder molds, etc.) to perform the required field-testing for quality assurance in accordance with the latest DOTD Sampling and Testing Manual and specifications.
5. Submit all sampled materials to be tested by DOTD District 02 Testing Laboratory, in accordance with the stipulated Sampling Manual.
6. Inspect the Contractor's construction operations (as required to meet sampling requirements, but at a minimum of daily) to ensure that all work is being performed in accordance with the specified plans and specifications.
7. Keep clear and concise records of the contractual operations, prepare monthly pay estimates, and make monthly progress reports consisting of project percent completion, percent time elapsed, approved change order amounts, and number of change orders to the DOTD 02 District Coordinator. Inspection of construction will not include shop and mill inspections and their approval.
8. Prepare final estimate packages, including Form 2059 – "Summary of Test Results" in conformance with DOTD requirements.
9. The consultant will be responsible for submittal approvals required of the Project Engineer as stated in the Standard Specifications including form drawings.
10. All construction activities shall be coordinated between the Consultant, the Entity, the FHWA and an assigned representative of the DOTD. All work standards, methods of reporting, and documentation of pay quantities will be in accordance with the policies and procedures of the DOTD. All partial and final construction estimates, and other information must be submitted on forms approved by the DOTD.
11. The consultant will perform all documentation, as prescribed by the Department, on the Department's construction software, Site Manager. The Consultant will

- provide computer hardware, i.e., computers, printers, internet connections, etc. deemed necessary to efficiently conduct the inspection services.
12. The consultant may be required to conduct **non-reimbursable** training sessions for his personnel to receive instructions into the use of Site Manager (approximately four hours). DOTD will provide a qualified instructor for this training.
 13. The Consultant will be available for conferences, visits to job sites, and/or inspections by DOTD authorized representatives.
 14. The Consultant will be required to submit “As-Built” plans with the final estimate. “As-Built” plans are to reflect all changes made from the original plans. All changes to the plans are to be made using a 746-1/2 Tuscan red pencil.
 15. When it is stipulated by the Project Specifications, that approval by DOTD is required for material, equipment, and/or construction procedures, DOTD policies for obtaining such approval will be followed.
 16. All construction inspection personnel utilized by the Consultant must meet and retain the same qualification and certification requirements as required of DOTD construction personnel.
 17. The Contractor will perform construction layout, and the Consultant will perform, or hire a licensed surveyor to perform any necessary checks for verification. All surveying must be in accordance with the requirement of LAPELS.
 18. Any proposed changes in plans or in the nature of the work will be pre-approved in writing by the DOTD, prior to the performance of stipulated work.
 19. Change Orders throughout the life of the project will be written by the Consultant and approved through the Department’s process.
 20. The Consultant will monitor and document all construction claims, and provide recommendations on disposition of claims.
 21. The Consultant will manage the RFI (Request for Information) process as defined on the DOTD internet site, <http://www.dotd.la.gov/construction.rfi/>.
 22. The Consultant will coordinate and/or perform the inspection of the fabrication of pre-cast materials with DOTD and the Entity.
 23. The Consultant’s inspector shall be responsible for performing and documenting inspections of erosion control devices, and reporting deficiencies to the Contractor for correction.
 24. The Consultant will meet with the DOTD Statewide Sign Inspector to review the construction signing for compliance with the MUTCD and Traffic Control Standards. Documentation of corrections made by the Contractor will be inputted into Site Manager by the Consultant’s Project Engineer.
 25. The Consultant is reminded that they are a representative of DOTD and the Entity and that they will conduct all business in the best interest of DOTD and the Entity.
 26. The Consultant shall verify the contractor’s compliance with provisions for Federal-Aid Construction Contract, which includes, but is not limited to, reviewing the certified contractor’s payrolls for conformance with the Davis Bacon Act and conducting contractor employee interviews monthly for verification of the contractor’s certified payrolls.

FHWA FORM 1391

The Consultant shall be required to notify the contractor and forward to the DOTD Compliance Section and the DOTD Coordinator all information required for the Federal Aid Construction Contractor's Annual EEO Report.

SERVICES TO BE PERFORMED BY THE DOTD

The DOTD will furnish, without charge, the following services and data:

1. Laboratory testing of materials. DOTD District 02 Testing Laboratory in Jefferson Parish will perform laboratory tests in conjunction with specialty testing performed at the DOTD Central Laboratory in Baton Rouge, Louisiana, using samples procured, and submitted by the Consultant and/or his staff.
2. DOTD will provide access to project plans and contract proposal.
3. DOTD will provide sampling plan for the project.
4. Site Manager Instructor and technical support.

ADDITIONAL SERVICES

The Consultant shall be required to notify the contractor and forward to the DOTD Compliance Section and the DOTD Coordinator all information required for the Federal Aid Construction Contractor's Annual EEO Report.

REFERENCES

All services documents will meet the standard requirements as to format and content of the DOTD; and will be prepared in accordance with the latest applicable editions, supplements and revisions of the following:

1. AASHTO Standards, ASTM Standards or DOTD Test Procedures
2. DOTD Location and Survey Manual
3. DOTD Roadway Design Procedures and Details Manual
4. DOTD Design Guidelines
5. DOTD Hydraulics Manual
6. DOTD Standard Specifications for Roads and Bridges
7. Manual of Uniform Traffic Control Devices (Millennium Edition)
8. DOTD Traffic Signal Design Manual
9. National Environmental Policy Act (NEPA)
10. National Electric Safety Code (NESC)
11. National Electric Code (NFPA 70)
12. DOTD Environmental Impact Procedures (Vols. I-III)
13. A Policy on Geometric Design of Highways and Streets (AASHTO)
14. DOTD Construction Contract Administration Manual
15. DOTD Materials Sampling Manual
16. DOTD Bridge Design Manual

17. Consultant Contract Services Manual
18. Geotechnical Engineering Services Document
19. Bridge Inspectors Reference Manual/90
20. DOTD Stage 1 Planning/Environmental Manual of Standard Practice
21. Testing Procedures Manual
22. Engineering Directives and Standards Manual (EDSM)
23. Code of Federal Regulations 29 CFR 1926 (OSHA)

Follow link below for the individual reference links:

<http://webmail.dotd.louisiana.gov/ContWEB.nsf/b88769326453bef886256fe00047183a/18fc2860512aba5886257a62006133b8?OpenDocument>

COMPENSATION

Compensation to the Consultant for services rendered in connection with this Contract is based on the number of days for the Construction Contract, and an additional 60 days for the final estimate package, and established billable rates with a maximum limitation of **\$680,310**. Prior to execution of the contract, a more accurate man-hour estimate will be established based on the construction contract time.

The Consultant may request to have the initial billable rates updated on a yearly basis based on the latest DOTD escalation rate. However, any adjustment to the Contract billable rates shall not be cause for an increase in the maximum compensation limitation imposed herein.

All travel related expenses will be compensated under direct expenses, and will be in accordance with Louisiana Office of State Travel regulations found at: <http://www.doa.louisiana.gov/osp/travel/travelpolicy.htm> Vehicle rental rates will require prior approval from the DOTD Project Manager.

DIRECT EXPENSES

All direct expense items which are not paid for in the firm's overhead which are needed and will be consumed during the life of the contract must be identified by the consultant during contract development. Standard equipment to be used in the provision of services rendered for this contract will not be considered for payment under direct expenses. Failure to provide the above information will deem items as non-qualifying for direct expenses.

The Consultant shall provide a minimum of three rate quotes for any specialty vehicle or equipment. Any and all items for which said quotes are not submitted shall be deemed as non-qualifying for payment as direct expenses.

CONTRACT TIME

The services to be performed under this Contract will commence promptly upon receipt of the written Notice-to-Proceed (NTP) from the **Entity**, and will be in effect for the duration of the construction period (currently estimated at 300 days), and an additional (60 calendar days) for the final estimate package. The delivery schedule for all project deliverables will be established by the **Entity**.

QUALITY CONTROL/QUALITY ASSURANCE

The DOTD requires the Consultant to develop a Quality Control/Quality Assurance program, in order to provide a mechanism by which all contracted services can be subject to a systematic and consistent review. Consultants must ensure quality and adhere to established construction policies, procedures, standards, and guidelines in the performance of inspection services. The DOTD shall provide limited input and technical assistance to the Consultant.

MINIMUM PERSONNEL REQUIREMENTS

The following requirements must be met by the Prime-Consultant at the time of submittal:

1. At least one Principal of the Prime-Consultant must be a Professional Engineer registered in the State of Louisiana.
2. The Prime-Consultant must employ on a full-time basis, one Professional Civil Engineer, registered in the State of Louisiana, with at least five years experience in Road Construction, and a corresponding support staff.
3. In addition to the above requirements, the Prime-Consultant must employ on a full-time basis, or through the use of a Sub-Consultant(s), a minimum of one DOTD Certified Inspector, with a minimum of five years of experience in Road and Bridge Construction. Inspectors may only inspect activities in which they hold an active DOTD certification. Inspectors shall have the certifications at the time of submittal. Certifications of compliance shall be submitted with and made part of the Consultant's DOTD Form 24-102. The activities listed below require certified inspectors:
 - a. Asphaltic Concrete Plant
 - b. Asphaltic Concrete Paving
 - c. Embankment and Base Course
 - d. Portland Cement Concrete Paving

WORK ZONE TRAINING REQUIREMENTS

All project personnel (engineers, engineer interns, and field personnel, *excluding Asphalt Plant Inspector) listed in the staffing plan for the project shall have completed the appropriate work zone training courses at the time of submittal. Current certifications of

compliance for this training shall be submitted with and made part of Consultant's DOTD Form 24-102. **DOTD requires recertification every four (4) years to ensure that certified individuals stay up-to-date with the latest standards and techniques necessary to effectively perform their jobs and ensure both public and worker safety.**

Specific requirements are:

Engineers (field and office): Flagger (mandatory beginning July 1, 2011)
Traffic Control Technician
Traffic Control Supervisor

Engineer Interns: Flagger (mandatory beginning July 1, 2011)
Traffic Control Technician
Traffic Control Supervisor

Field Inspectors: Flagger
Traffic Control Technician

Field Senior Technicians: Flagger
Traffic Control Technician
Traffic Control Supervisor

Approved courses are offered by ATSSA and AGC. Substitutes for these courses must be approved by the DOTD Work Zone Task Force. The "DOTD Maintenance Basic Flagging Procedures Workshop" is not an acceptable substitute for the ATSSA and AGC flagging courses.

*Excluding Asphalt Plant Inspector

Training Certifications/Certifications of Compliance must be submitted with and made part of the Consultants DOTD Form 24-102 for all Manpower requirements listed herein.

EVALUATION CRITERIA

The general criteria to be used by DOTD (when applicable) in evaluating responses for the selection of a Consultant to perform these services are:

1. Consultant's firm experience on similar projects, weighting factor of 3;
2. Consultant's personnel experience on similar projects, weighting factor of 4;
3. Consultant's firm size as related to the estimated project cost, weighting factor of 3;
4. Consultant's past performance on similar DOTD projects, weighting factor of 6;*
5. Consultant's current work load with DOTD, weighting factor of 5;
6. Location where the work will be performed, weighting factor of 6

*The Construction Services (CS), CE&I (CC) and Final Audit (CF) performance rating will be used for this project.

Complexity level - **Simple**

Consultants will be evaluated as indicated in Items 1- 6. The evaluation will be by means of a point-based rating system. Each of the above criteria will receive a rating on a scale of 0-4. The rating will then be multiplied by the corresponding weighting factor. The firm's rating in each category will then be added to arrive at the Consultant's final rating.

If Sub-Consultants are used, the Prime Consultant must perform a minimum of 51% of the work for the overall project. Each member of the Consultant/Team will be evaluated on their part of the contract, proportional to the amount of their work. The individual team member ratings will then be added to arrive at the Consultant/Team rating.

Communication Protocol

DOTD's Project Evaluation Team will be responsible for performing the above described evaluation, and will present a short-list of the three (if three are qualified) highest rated Consultants to the Secretary of the DOTD. The Secretary will make the final selection.

1. Alan Dale – Ex officio
2. Fred Wetekamm – Project Manager
3. Jacques Deville
4. Danny Smith
5. Ennis Johnson
6. Justin Guilbeau

Rules of Contact (Title 48 Engineering and Related Services)

These rules are designed to promote a fair, unbiased, legally defensible selection process. The LA DOTD is the single source of information regarding the Contract selection. The following rules of contact will apply during the Contract selection process and will commence on the date of advertisement and cease at the contract execution by the selected firm. Contact includes face-to-face, telephone, facsimile, Electronic-mail (E-mail), or formal written communications. Any contact determined to be improper, at the sole discretion of the LA DOTD, may result in the rejection of the submittal (24-102):

- A. The Consultant shall correspond with the LA DOTD regarding this advertisement only through the LA DOTD Consultant Contracts Services Administrator;
- B. Neither the Consultant, nor any other party on behalf of the Consultant, shall contact any LA DOTD employees, including but not limited to, department heads; members of the evaluation teams; and any official who may participate in the decision to award the contract resulting from this advertisement except through the process identified above. Contact

- between Consultant organizations and LA DOTD employees is allowed during LA DOTD sponsored one-on-one meetings;
- C. Any communication determined to be improper, at the sole discretion of the LA DOTD, may result in the rejection of submittal, at the sole discretion of the LA DOTD;
 - D. Any official information regarding the project will be disseminated from the LA DOTD'S designated representative on the LA DOTD website. Any official correspondence will be in writing;
 - E. The LA DOTD will not be responsible for any verbal exchange or any other information or exchange that occurs outside the official process specified herein.

By submission of a response to this RFQ, the Consultant agrees to the communication protocol herein.

CONTRACT REQUIREMENTS

The selected Consultant will be required to execute the contract within 10 days after receipt of the contract.

INSURANCE - During the term of this contract, the Consultant will carry professional liability insurance in the amount of \$1,000,000. The Prime Consultant may require the Sub-consultant(s) to carry professional liability insurance. This insurance will be written on a "claims-made" basis. Prior to executing the contract, the Consultant will provide a Certificate of Insurance to DOTD showing evidence of such professional liability insurance.

AUDIT - The selected Consultant/Team will allow the DOTD Audit Section to perform an annual overhead audit of their books, or provide an *independent* Certified Public Accountant (CPA) audited overhead rate. This rate must be developed using Federal Acquisition Regulations (FAR) and guidelines provided by the DOTD Audit Section. In addition, the Consultant/Team will submit semi-annual labor rate information, when requested by DOTD.

The selected Consultant/Team will maintain an approved Project Cost System and segregate direct from indirect cost in their General Ledger. Pre-award and post audits, as well as interim audits, may be required. For audit purposes, the selected Consultant/Team will maintain accounting records for a minimum of five years after final contract payment.

DBE/WBE - The selected Consultant Team will have a DBE/WBE goal of 4% of the contract fee. DBE/WBE participation will be limited to the firms listed on the LA DOTD UCP DBE Directory which can be found at the following link: <http://www8.dotd.la.gov/UCP/UCPSearch.aspx>. The DOTD Project Manager shall review submitted invoices to determine if the DBE/WBE goals are being achieved. If the Consultant has failed to meet the goal and no good faith efforts have been

made, the DOTD Project Manager shall notify the Compliance Section, and at that time the DBE/WBE portion of the Contract fee will be withheld from the Prime Consultant.

Any Consultant currently under contract with the DOTD and who failed to meet all the audit requirements documented in the manual and/or notices posted on the DOTD Consultant Contract Services Website (www.dotd.louisiana.gov) will not be considered for this project.

SUBMITTAL REQUIREMENTS

One original (**stamped “original”**) and **five** copies of the DOTD Form 24-102 must be submitted to DOTD. **Copies of the Inspector’s certification card (indicating the date of expiration), must be included in the 24-102.** All submittals must be in accordance with the requirements of this advertisement and the Consultant Contract Services Manual. Any Consultant/Team failing to submit any of the information required on the 24-102, or provide inaccurate information on the 24-102, will be considered non-responsive.

Any Sub-Consultants to be used, including Disadvantaged Business Enterprises (DBE), in performance of this Contract must also submit a 24-102, which is completely filled out and contains all information pertinent to the work to be performed.

The Sub-Consultant’s 24-102 must be firmly bound to the Consultant’s 24-102. In Section 8, the Consultant’s 24-102 must describe the **work elements** to be performed by the Sub-Consultant(s), and state the approximate **percentage** of each work element to be subcontracted to each Sub-Consultant.

Contract employees may be allowed for a period of time for a particular element or task on a project. Contract employees should be shown in **Section 9a. Project Staffing Plan** with resumes included in **Section 10**.

Use of contract employees requires prior approval by the Consultant Contract Services Section for each element or task on a project. The approval request shall be made prior to the submittal of the 24-102 form.

Name(s) of the Consultant/Team listed on the 24-102, must precisely match the name(s) filed with the Louisiana Secretary of State, Corporation Division, and the Louisiana State Board of Registration for Professional Engineers and Land Surveyors.

The DOTD Form 24-102 will be identified with **Contract No. 4400004923 and State Project No. H.007265.6** and will be submitted **prior to 3:00 p.m. CST on Tuesday, May 13, 2014**, by hand delivery or mail addressed to:

Department of Transportation and Development
Attn.: Mr. Alan Dale, P.E.
Consultant Contracts Services Administrator
1201 Capitol Access Road, **Room 405-T**
Baton Rouge, LA 70802-4438 or
Telephone: (225) 379-1401

REVISIONS TO THE RFQ

DOTD reserves the right to revise any part of the RFQ by issuing an addendum to the RFQ at any time. Issuance of this RFQ in no way constitutes a commitment by DOTD to award a contract. DOTD reserves the right to accept or reject, in whole or part, all Qualification Statements submitted and/or cancel this announcement if it is determined to be in DOTD's best interest. All materials submitted in response to this announcement become the property of DOTD and selection or rejection of a submittal does not affect this right. DOTD also reserves the right, at its sole discretion, to waive administrative informalities contained in the RFQ.